

## Russian Sanctions Compliance Notification

Dear Valued Customer,

Customer Reference No:  and/or EMO Reference No:

In addition to pre-existing end-user based sanctions, the U.S. Government has now implemented sanctions on shipments to the Russian Federation (Russia) that are commodity and end-use based.

EMO Trans, Inc. requires that you to confirm that the end use of your product is not to be used directly or indirectly in Russia's energy sector as described in the regulation under 15 CFR 746.5 and that your shipment is otherwise compliant with these sanctions as defined by the Export Administration Regulations and the sanctions program administered by the Office of Foreign Assets Controls (OFAC).

To understand the importance of these sanctions, please read the rule that was published by the Bureau of Industry and Security (BIS) on August 6, 2014 - <http://www.bis.doc.gov/index.php/about-bis/newsroom/press-releases/66-about-bis/newsroom/press-releases/717-russian-oil-industry-sanctions-and-addition-of-person-to-the-entity-list>

Please answer the following questions about the above referenced export transaction to Russia:

- **Is any Entity involved in this shipment listed on any U.S. Government sanction or denial list?**
  - [http://export.gov/ecr/eg\\_main\\_023148.asp](http://export.gov/ecr/eg_main_023148.asp)
  - **Yes:**  **No:**
  
- **Will the commodity being exported be used in Russia's energy sector intended for exploration or production from deep-water (greater than 500 feet), Arctic offshore, or shale projects that have the potential to produce oil or gas?**
  - Note if you do not know the intended end use you will need to apply for an Export License from the Bureau of Industry and Security (BIS)
  - **Yes:**  **No:**

If you require additional information on these sanctions please contact the responsible U.S. agencies directly:

- The Bureau of Industry and Security (BIS) at the U.S. Department of Commerce ([www.bis.doc.gov](http://www.bis.doc.gov)):
- The Office of Foreign Assets Controls (OFAC) at the U.S. Department of the Treasury:  
<http://www.treasury.gov/about/organizational-structure/offices/Pages/Office-of-Foreign-Assets-Control.aspx>

If you answered yes to any of the above questions, you will need to apply for an Export License from BIS.

**\*Note: EMO Trans will not conduct any export transactions to Russia without this complete, signed, and dated notification.**

\_\_\_\_\_  
Name (Printed)

\_\_\_\_\_  
Company

\_\_\_\_\_  
Title

\_\_\_\_\_  
Date

\_\_\_\_\_  
Signature